

SON-2552/KOI



1010 PCT/PTO 24 JAN 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of

Noriaki NISHI

Application No. 09/914,350

Filed: August 27, 2001

For: OPTICAL HEAD, LIGHT RECEIVING EMITTING DEVICE AND OPTICAL  
RECORDING AND/OR REPRODUCING APPARATUS

Examiner: *To Be Assigned*

Group Art Unit: *To Be Assigned*

PCT  
5/A  
MDJ  
8.30.02

PRELIMINARY AMENDMENT

RECEIVED

Commissioner for Patents  
Washington, D.C. 20231

AUG 22 2002

Technology Center 2600

Sir:

Prior to the initial examination, please amend the above-identified application as follows:

IN THE SPECIFICATION:

Please rewrite the following paragraph(s) as set forth below in clean form. Additionally, in accordance with 37 CFR 1.121(b)(1)(iii), the amended paragraph(s) are set forth in a marked-up version in the page(s) attached to this Amendment.

On Page 31, Paragraph 3:

If the multiplication factor converting directions of the anamorphic prism are such that  $\beta_1 = \beta_A \times \beta_2$ , as described above, the shift of the focussing position with respect to the defocussing  $\Delta_{Def}$  is such that

direction 1:  $\Delta_1 = \Delta_{Def} \times 2 \times (\beta_A \times \beta_2)^2$

direction 2:  $\Delta_2 = \Delta_{Def} \times 2 \times \beta_2^2$ .